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Thymic involution and rising disease incidence with age

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For many cancer types, incidence rises rapidly with age as an apparent power law, supporting the idea that cancer is caused by a gradual accumulation of genetic mutations. Similarly, the incidence of many infectious diseases strongly increases with age. Here, combining data from immunology and epidemiology, we show that many of these dramatic age-related increases in incidence can be modeled based on immune system decline, rather than mutation accumulation. In humans, the thymus atrophies from infancy, resulting in an exponential decline in T cell production with a half-life of ~16 years, which we use as the basis for a minimal mathematical model of disease incidence. Our model outperforms the power law model with the same number of fitting parameters in describing cancer incidence data across a wide spectrum of different cancers, and provides excellent fits to infectious disease data. This framework provides mechanistic insight into cancer emergence, suggesting that age-related decline in T cell output is a major risk factor.

cancer | infectious disease | T cell | thymus | driver mutations

T cells develop from hematopoietic stem cells as part of the lymphoid lineage and have the ability to detect foreign antigens and neoantigens arising from cancer cells. In the thymus, lymphoid progenitors commit to a specific T cell receptor and undergo selection events that screen against self-reactivity. Cells that pass these selection gates then leave the thymus, clonally expanding to form the patrolling naive T cell pool (1). The vast majority of vertebrates experience thymic involution (or atrophy) in which thymic epithelial tissue is replaced with adipose tissue, resulting in decreasing T cell export from the thymus. In humans, this is thought to begin as early as 1 y of age (2) (Fig. S1). The rate of thymic T cell production is estimated to decline exponentially over time with a half-life of ~15.7 y (2–4), thereby following the function $e^{-\alpha t}$, with $\alpha = 0.044 \text{ y}^{-1}$. Declining production of new naive T cells is thought to be a significant component of immunosenescence, the age-related decline in immune system function. With the recent successes of T cell-based immunotherapies (5), it is timely to assess how thymic involution may affect cancer and infectious disease incidence.

It is clear from epidemiological data that incidence of infectious disease and cancer increases dramatically with age, and specifically, that many cancer incidence curves follow an apparent power law (6, 7). The simplest model to account for this assumes that cancer initiation is the result of a gradual accumulation of rare “driver” mutations in one single cell. Furthermore, the fitting of this power law model (PLM) can be used to estimate the number of such mutations (6, 7). Exponential curves (i.e., of the form $e^{\lambda t}$) have also been used to fit cancer incidence data (8), resulting in worse fits than the PLM overall. Nevertheless, it is worth noting that exponential rates close to $\alpha = 0.044 \text{ y}^{-1}$ can be seen to emerge from the incidence data (Fig. S2), indicating the relevance of the thymic involution timescale. While the PLM fits well, it does not account for changes in the immune system with age. To better determine the processes underlying carcinogenesis, we asked whether an alternative model, based only on age-related changes in immune system function, might partly or entirely explain cancer incidence.

Results

Immunological Model. We developed a mathematical model of cancer incidence based on two assumptions: first, that potentially cancerous cells arise with equal probability at any age, and, second, that there exists an immune escape threshold (IET), proportional to T cell production, above which immunogenic cells can overwhelm the immune system and result in a clinically detectable disease (Fig. 1 and Fig. S3). For the sake of generality, as the model can also relate to age-related incidence of infectious diseases, the immunogenic cells could be mutated somatic cells or a population of infectious pathogens. We do not define the biological interaction between the T cell pool and the nascent tumor/infection; however, the concept of declining immune competence is consistent with several known mechanisms: for instance, both T cell repertoire diversity and the proliferative capacity of naive T cells decrease with age (9). Our model is thus derived as follows: once immunogenic cells arise, the population of such cells will change over time, leading to stochastic dynamics in population size, clonal diversity, and potentially other properties. The simplest way to capture these dynamics is through a birth–death process, and to a first approximation this can be modeled as a biased random walk (10). Fig. 1 provides a schematic view of the model dynamics in terms of population size. If the random walk exceeds the IET, the immune system will no longer be able to respond effectively and immune escape occurs.

If the random walk for the immunogenic cells is unbiased (e.g., for the random walk describing population size, if cell division and cell death are equally likely), then the probability for an immunogenic cell population to reach a threshold K is given by $1/K$

Significance

Understanding the risk factors of carcinogenesis is a major goal of biomedical research. Historically, the focus has been on the role of somatic mutations, and the reason for cancer typically occurring late in life is predominantly attributed to a gradual accumulation of such mutations. We challenge that view and propose that the decline of the immune system is the primary reason why cancer is an age-related disease. The immunological model featured here captures risk profiles for many cancer types and infectious diseases, suggesting that therapies reversing T cell exhaustion or restoring T cell production will be promising avenues of treatment.

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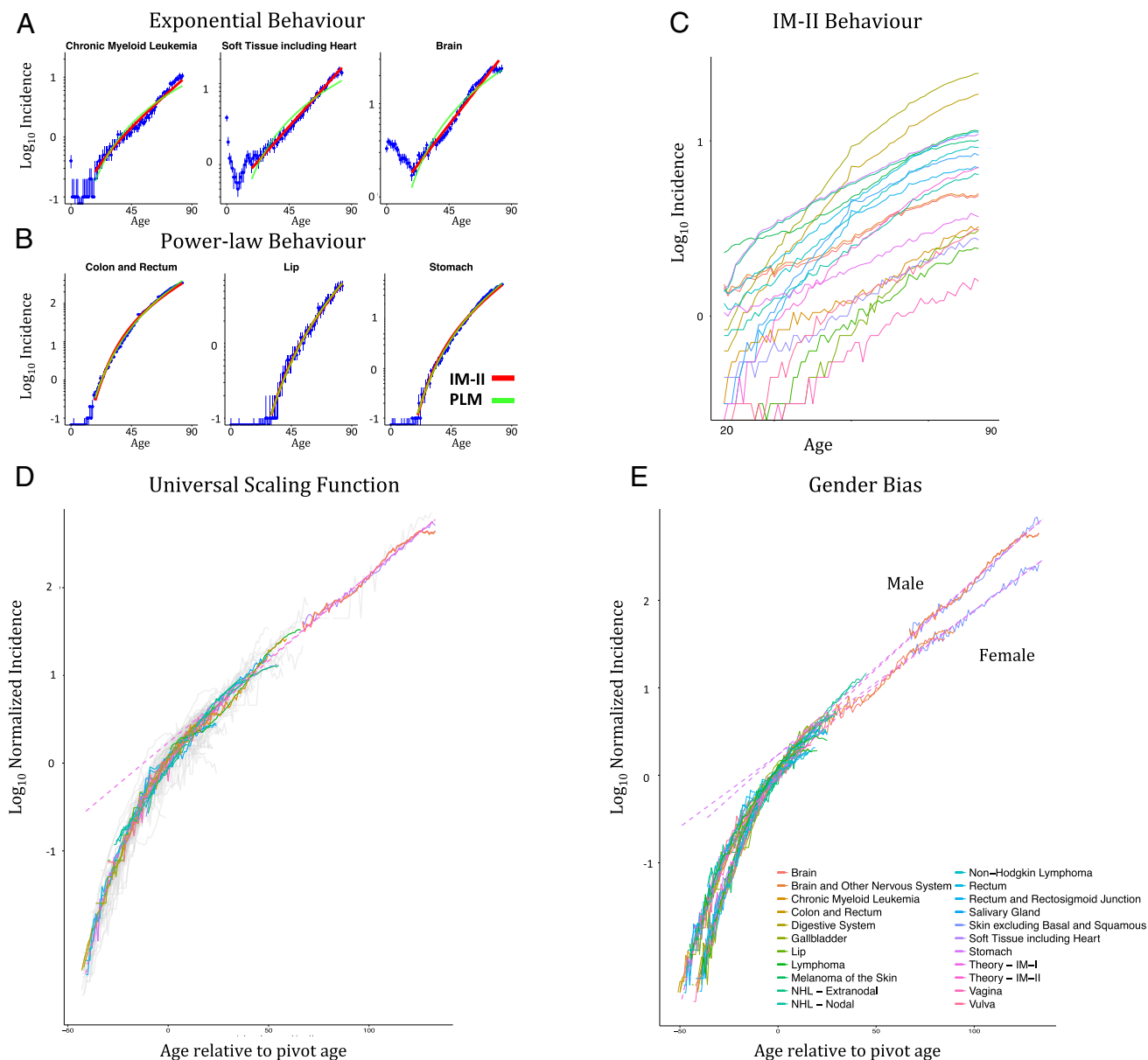
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beat the odds and evade the immune system through rare stochastic fluctuations in population dynamics. This is in stark contrast to the PLM, where the increase in risk with age arises from the waiting time for multiple independent events. We also predict that, for those animals that do not experience thymic involution, for example, some species of shark (16), cancer risk would not increase dramatically with age, and would thus be a relatively rare cause of death.

Mutations do indeed accumulate with age (17, 18), and although the premise of the PLM is logically and mathematically sound, this model predicts that several rare independent driver mutations are necessary for carcinogenesis. The fitted curves from the PLM and IM-II often overlap and can explain equally

well many incidence curves. Further research is therefore necessary to estimate the number of driver mutations via other lines of inquiry. A recent paper (15) attempted to address this question from a new direction, by comparing groups with different mutation rates such as smokers and nonsmokers. Their analysis suggests that lung and colon cancer are caused by approximately $n \sim 2.3$ driver mutations, rather than $n \sim 6.3$ as would be inferred from the PLM alone. Our combined PLIM also predicts approximately $n \sim 2.2$ driver mutations for colon cancer, although good fits ($R^2 > 0.95$) are also found in other areas of parameter space. Moreover, a correlation has been found between the risk of cancer in a given organ and the total number of stem cell divisions estimated for that organ (19). It has been noted that this correlation

does not show a highly nonlinear relationship, which would be expected from the mutation accumulation hypothesis (20). Indeed, if we apply the PLM to this dataset (Dataset S2), we find that the number of driver mutations is just $n \sim 0.91$ (SI Theory) consistent with the assumptions underpinning the immunological model.

While IM-II has only two emergent fitting parameters, the underlying random-walk model has three biological parameters, resulting in an underdetermined system (Methods). To get estimates for these biological parameters, such as the size of the IET, additional assumptions are required. Given that the estimated total number of stem cell divisions provides a good predictor of cancer risk (19), the rate of stem cell divisions can be assumed to be proportional to the rate of cancer initiation attempts in the immunological model (SI Theory). From this, we can obtain values for the model parameters of IM-II. We found that the size of the IET is typically $\sim 10^6$, which would imply that a population growing beyond 10^6 cancer cells would overwhelm the immune system and result in immune escape (see Dataset S1 for values for each cancer). In mouse experiments, primary inoculations with $>10^6$ cancer cells rendered mice unable to control subsequent tumor inoculations (21), providing a degree of qualitative and quantitative support for our model assumptions. This effect is related to the phenomenon of “T cell exhaustion,” which was initially defined as the clonal deletion of antigen-specific T cells due to chronic stimulation (22), and is now understood to involve not only activation-induced deletion but also changes in T cell phenotype and functionality (5). Therapies targeting T cell exhaustion have already been widely successful in cancer and infectious disease therapy in the form of immune checkpoint blockades such as PD-1 and CTLA-4 inhibitors (5). Our model provides a theoretical framework for such treatments and predicts that treatment efficacy could be enhanced if new naive T cell production were also increased. Additionally, evidence for a causative link between thymic activity and cancer risk has been found in mouse models, as thymectomized mice develop significantly more tumors (23, 24) and thymus grafts on nude mice can induce cancer remission (25, 26).

Our view supports the idea that as little as one single genomic aberration could be at the root of tumorigenesis. This event could be the emergence of a potent driver mutation, for example, a growth-inducing chromosomal translocation. Interestingly, it has been pointed out that a relatively small number of oncogenes have been confirmed across multiple biological experiments and all of these genes control cellular growth (27). Moreover, karyotypic analysis indicates that chromosomal rearrangements are encountered in most cancers in a way that is generally unique to the specific cancer under consideration (28). This led some to suggest that such changes are causative to cancer (29). Our analysis indicates that a single event (e.g., the emergence of a key mutation) could be enough to generate a malignancy that is able to evolve into a clinically manifest cancer if it escapes immune control. The immunological model also identifies a potential smoking gun in cancer risk in the form of the exponential decline of T cell production with age. Despite the decrease in T cell production from the thymus, overall T cell counts in the blood remain approximately constant due to increased peripheral clonal expansion (1). We therefore make the prediction that T cell efficacy is not increased by clonal expansion.

Our hypothesis and results add to the understanding of infectious disease and cancer incidence, suggesting in the latter case that immunosenescence, rather than gradual accumulation of mutations, serves as the predominant reason for an increase in cancer incidence with age for many cancers. For future therapies, including preventative therapies, strengthening the functionality of the aging immune system (30) appears to be more feasible than limiting genetic mutations, which raises hope for effective new treatments.

Methods

Immunological Model. Simple models can often be very powerful in explaining complex phenomena (31, 32). With this in mind, we formulated a minimal model for disease incidence that does not attempt to explain the data

exhaustively, but rather aims to be as simple as possible for the purposes of investigating the primary factors and rate-limiting steps.

During an immune response, immunogenic cells will be eliminated, while also increasing in number through division, such that the number of immunogenic cells follows a (biased) random walk. This stochastic birth–death process has been studied previously (10). The probability for reaching a population threshold K is given by the following:

$$b^{K-1} \frac{d-b}{d^K - b^K}, \quad [1]$$

where b and d are the birth (division) rates and death rates, respectively. The threshold K is interpreted as the largest number of immunogenic cells that can be effectively controlled by the immune system, and is thus the IET. Multiplying by the rate of initiating events r , we arrive at the predicted risk profile:

$$R = r b^{K-1} \frac{d-b}{d^K - b^K}. \quad [2]$$

We assume that the only factor depending on age is K . The decrease of the IET with age is supported by experiments in mice showing a decline in proliferative capacity of activated T cells with age (33, 34). Specifically, we assume that the IET is proportional to the rate of export of naive T cells from the thymus. This would be the case if, for example, each T cell progenitor can only produce a finite number of daughter T cells and respond effectively to a finite maximum number of immunogenic cells, analogous to the Hayflick limit of replicative senescence (35). This gives $K = K_0 e^{-\alpha t}$, leading to a predicted risk profile of the form $R = A / (e^{B e^{-\alpha t}} - 1)$, where $A = r(d-b)/b$, $B = K_0 \log(d/b)$.

Immunogenic cells are likely to have a higher division rate than normal cells, but since they are eliminated by the immune system, they will also have a higher death rate. Under the approximation that the division rate is equal to the death rate, Eq. 3 reduces to $R = A' e^{\alpha t}$, where $A' = r/K_0$. This constitutes a first-approximation prediction for risk profiles, with just a single fitting parameter.

When the fitting parameter B is negative, the biological parameters b and d satisfy $b > d$. In these rare cases, growth is approximately exponential and essentially a deterministic process, rather than a rare stochastic event. This would imply that the size of the threshold plays a small role and that incidence would be close to constant, which is indeed the case. For the majority of cases, especially the cases that can be fit well, the fitting parameter B is positive. To obtain a more easily interpreted model for these cases, we can repackage the parameter B and rewrite the full risk profile as follows:

$$R = \frac{A}{e^{e^{-\alpha(t-\tau)}} - 1}, \quad [3]$$

where $\tau = \log(B)/\alpha$. The parameter τ can now be interpreted as a pivot age, marking a change in behavior of the risk profile. For ages less than τ , the risk profile can be approximated as a steep Gompertz function $R \sim Ae^{-e^{-\alpha(t-\tau)}}$, while for ages greater than τ , the risk profile can be approximated as a pure exponential $R \sim Ae^{\alpha(t-\tau)}$. In more biological terms, the pivot age represents the age when a cancer type transitions from very rare to relatively less rare. The median pivot age across all cancer types is $\tau = 49.9$ y of age. The immune system's response to a given cancer type influences the death rate d and also the immune exhaustion threshold size K_0 . In this way, a more competent immune system would lead to an increase in the pivot age parameter τ .

Up to a shift in age and an overall multiplicative factor, all functions of the form (6) can be collapsed onto a single universal scaling function given by the following:

$$S(x) = \frac{e-1}{e^{e^{-x}} - 1}, \quad [4]$$

where $x = \alpha(t - \tau)$ and the overall multiplicative factor is chosen such that $S(0) = 1$. For the universal scaling function separated by gender, we have used values of exponent α higher in males than females. Since the available data on gender-separated TREC decline found in ref. 4 are very noisy (α for male TRECs is given by 0.08, with 0.05–0.11 95% CI, while α for female TRECs is given by 0.04, with 0.01–0.07 95% CI), we have arrived at values for α in males and females based on disease data. The cancer type which fits IM-I best is “soft tissue including heart.” This cancer has risk rising exponentially with exponents $\alpha_M = 0.046$ for males and $\alpha_F = 0.038$ for females, which we use for the universal scaling function. Consistently, the only infectious disease with gender separation, WNV, rises exponentially with exponents $\alpha_M = 0.05$ for males and $\alpha_F = 0.041$ for females.

The universal scaling function in Fig. 3 depicts the top 20 best-fitting cancers as measured by the Akaike information criterion (AIC). Other choices of measure give similar results (Fig. S10).

The immunological model above can be combined with the PLM to produce a model with three fitting parameters. To do so, we alter the assumption that potentially cancerous cells are produced at a constant rate, r , and assume instead that they arise from the gradual accumulation of driver mutations. Using the framework of the PLM (6, 7), the rate of attempts then takes the form $r = r_0 t^\gamma$, corresponding to the waiting time for $\gamma + 1$ rare independent events. This PLIM predicts risk profiles of the following form:

$$R = \frac{A}{e^{Bt^{-\alpha}} - 1} t^\gamma, \quad [5]$$

where $A = r_0(d - b)/b$, $B = K_0 \log(d/b)$.

Data Sources. Data sources for incidence rates are chosen based on largest possible sample sizes.

All cancer incidence data are obtained from Surveillance, Epidemiology, and End Results Program (SEER) in the United States (11).

Bacterial infection incidence data are obtained from the ABC surveillance program run by the Centers for Disease Control and Prevention (CDC). This program studies seven key bacterial diseases in detail (<https://www.cdc.gov/abcs/reports-findings/surv-reports.html>).

Incidence data for viral diseases is obtained from studies with the largest possible sample sizes. WNV disease incidence data are obtained from a 9-y survey covering the United States from 1999 to 2008 (available at <https://www.cdc.gov/mmwr/preview/mmwrhtml/ss5902a1.htm>; accessed February 23, 2016). Influenza A incidence data are obtained from a 22-y survey covering the United States (36).

Tuberculosis prevalence in Cambodia is obtained from ref. 37.

Stem cell counts and division rate estimates are taken from ref. 19.

Statistical Methods. For incidence of infectious diseases and cancers, CIs are calculated assuming a χ^2 distribution. All fitting of incidence curves is performed on log-transformed values.

To calculate the overall ratio of male TRECs to female TRECs, we computed the ratio of the means and then used a bootstrapping approach to calculate the SD of that measurement.

To show that cancer risk rises more steeply for males compared with females, we fit pure exponentials to the incidence curves and recorded the exponents as *Female alpha* and *Male alpha* in Dataset S1. To calculate the value of P for the statement that risk rises more steeply for WNV in males compared with females, we used the ANCOVA method.

All of the code for our analysis is available online at <https://github.com/Albluca/ImmuneModelSEER>.

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